

**ABSTRACT.** The paper of the is to initiate the study of real AW\*-algebras in the framework of the theory of real C\*-algebras and W\*-algebras. It happens that in some aspects real AW\*-algebras behave unlike complex AW\*-algebras and sometimes their properties are completely different also from corresponding properties of real W\*-algebras. We prove that if the complexification  $A + iA$  of a real C\*-algebra  $A$  is a (complex) AW\*-algebra then  $A$  itself is a real AW\*-algebra. By modifying the Takenouchi's examples of complex non-W\*, AW\*-factors we show that there exist real non-W\*, AW\*-factors. The correspondence between real AW\*-factors and involutive (i.e. with period 2) \*-anti-automorphisms of (complex) AW\*-factors is established. We give the decomposition of real AW\*-algebras into types *I*, *II* and *III* similar to the case of complex AW\*-algebras or W\*-algebras. It is proved that if  $A$  is a real AW\*-factor and its complexification  $M = A + iA$  is also an AW\*-algebra (and therefore an AW\*-factor) then the types of  $A$  and  $M$  coincide.

**KEYWORDS:** AW\*-algebra, C\*-algebra, factor, involutive \*-antiautomorphism, complex Hilbert space, commutant, complexification, linear \*-automorphism, conjugate, bicommutant, quaternions algebra, projection, isomorphic.

## 1. INTRODUCTION

The theory of operator algebras was initiated in a series of papers by Murray and von Neumann in thirties. Later such algebras were called von Neumann algebras or W\*-algebras. These algebras are self-adjoint unital subalgebras  $M$  of the algebra  $B(H)$  of bounded linear operators on a complex Hilbert space  $H$ , which is closed in the weak operator topology. Equivalently  $M$  is a von Neumann algebra in  $B(H)$  if it is equal to the commutant of its commutant (von Neumann's bicommutant theorem). A factor (or W\*-factor) is a von Neumann algebra with trivial center and investigation of general W\*-algebras can be reduced to the case of W\*-factors, which are classified into types *I*, *II* and *III*.

Real operator algebra is a \*-algebra consisting of bounded (real) linear operators on a real Hilbert space  $H$ . If it is closed in the weak operator topology we have real W\*-algebra, and if it is uniformly closed (i.e. in the norm topology) then we come to the notion of the real C\*-algebra.

In his monograph [7] Li Bing-Ren has set up the fundamentals of real operator algebras and gave a systematic discussion of the real counterpart for the theory of W\*- and C\*-algebras.

A slightly different (but almost the same up to \*-isomorphism) definition of real W\*-algebras was given by E. Størmer [13,14]: A real von Neumann algebra (or real W\*-algebra) is a real \*-algebra  $\mathfrak{R}$  of bounded linear operators on a complex Hilbert space containing the identity operator  $\mathbf{1}$ , which is closed in the weak operator topology and satisfies the condition  $\mathfrak{R} \cap i\mathfrak{R} = \{0\}$ . The smallest (complex) von Neumann algebra  $U(\mathfrak{R})$  containing  $\mathfrak{R}$  coincides with its complexification  $\mathfrak{R} \cap i\mathfrak{R}$ , i.e.  $U(\mathfrak{R}) = \mathfrak{R} \cap i\mathfrak{R}$ . Moreover  $\mathfrak{R}$  generates a natural involutive (i.e. of order 2) \*-antiautomorphism  $\alpha_{\mathfrak{R}}$  of  $U(\mathfrak{R})$ , namely  $\alpha_{\mathfrak{R}}(x + iy) = x^* + iy^*$ , where  $x + iy \in U(\mathfrak{R})$ ,  $x, y \in \mathfrak{R}$ . It is clear that  $\mathfrak{R} = \{x \in U(\mathfrak{R}) : \alpha(x) = x^*\}$ . Conversely, given a (complex) von Neumann algebra  $U$  and any involutive \*-antiautomorphism  $\alpha$  on  $U$ , the set  $\{x \in U : \alpha(x) = x^*\}$  is a real von Neumann algebra in the above sense.

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It is not difficult to see that two real von Neumann algebras generating the same (complex) von Neumann algebra are isomorphic if and only if the corresponding involutive \*-antiautomorphisms are conjugate. Thus the study of the above real von Neumann algebra can be reduced to the study of pairs  $(U, \alpha)$ , where  $U$  is a (complex) von Neumann algebra and  $\alpha$  - its involutive \*-antiautomorphism.

### 2. PRELIMINARIES

Let  $H$  be a complex Hilbert space,  $B(H)$  denote the algebra of all bounded linear operators on  $H$ . The weak (operator) topology on  $B(H)$  is the locally convex topology, generated by semi norms of the form:  $\rho(a) = |(\xi, a\eta)|$ ,  $\xi, \eta \in H$ ,  $a \in B(H)$ .  $W^*$ -algebra is a weakly closed complex \*-algebra of operators on a Hilbert space  $H$  containing the identity operator  $\mathbf{1}$ . Recall that  $W^*$ -algebras are also called *von Neumann algebras*.

Let further  $M$  be a  $W^*$ -algebra. The set  $M'$  of all elements from  $B(H)$  commuting with each element from  $M$  is called the commutant of the algebra  $M$ . The center  $Z(M)$  of a  $W^*$ -algebra  $M$  is the set of elements of  $M$ , commuting with each element from  $M$ . It is easy to see that  $Z(M) = M \cap M'$ . Elements of  $Z(M)$  are called *central* elements. A  $W^*$ -algebra  $M$  is called *factor*, if  $Z(M)$  consists of the complex multiples of  $\mathbf{1}$ , i.e. if  $Z(M) = \{\lambda\mathbf{1}, \lambda \in \mathbb{C}\}$ . We say that a  $W^*$ -algebra  $M$  is *injective* if there exists a projection  $P$  in  $B(H)$  onto  $M$  such that  $\|P\| = 1$  and  $P(\mathbf{1}) = \mathbf{1}$ . This is equivalent to the condition that  $M$  is *hyperfinit*, i.e., that there exists an increasing sequence  $\{M_n\}$  of matrix subalgebras of the algebra  $M$  containing  $\mathbf{1}$  and such that the union  $\cup_n M_n$  is weakly dense in  $M$ .

Let  $e, f, h$  be projections from  $M$ . We say that  $e$  is equivalent to  $f$ , and write  $e \sim f$ , if  $e = \omega^* \omega$ ,  $f = \omega \omega^*$  for some partial isometry  $\omega$  from  $M$ . A projection  $e$  is called: *finite*, if  $e \sim f \leq e$  implies  $f = e$ ; *infinite* - otherwise; *purely infinite*, if  $e$  doesn't have any nonzero finite subprojection; *abelian*, if the algebra  $eMe$  is an abelian  $W^*$ -algebra. A  $W^*$ -algebra  $M$  is called *finite*, *infinite*, *purely infinite*, if  $\mathbf{1}$  is a finite, infinite, purely infinite respectively;  $M$  is  $\sigma$ -finite, if any family of pairwise orthogonal projections from  $M$  is at most countable; *semifinite*, if each projection in  $M$  contains a nonzero finite subprojection; *properly infinite*, if every nonzero projection from  $Z(M)$  is infinite; *discrete*, or of type *I*, if it contains a faithful abelian projection (i.e. an abelian projection with the central support  $\mathbf{1}$ ); *continuous*, if there is no abelian projection in  $M$  except zero;  $M$  is of type *II*, if  $M$  is semifinite and continuous; type  $I_{fin}$  (respectively  $I_\infty$ ), if  $M$  is of type *I* and finite (respectively properly infinite); type  $II_1$  (respectively type  $II_\infty$ ), if  $M$  is of type *II* and finite (respectively properly infinite); type *III*, if  $M$  is purely infinite. It is known that any  $W^*$ -algebra has a unique decomposition along its center into the direct sum of  $W^*$ -algebras of the  $I_{fin}$ ,  $I_\infty$ ,  $II_1$ ,  $II_\infty$  and *III* types.

A linear mapping  $\alpha : M \rightarrow M$  is called a *\*-automorphism* (respectively a *\*-antiautomorphism*) if  $\alpha(x^*) = \alpha(x)^*$  and  $\alpha(xy) = \alpha(x)\alpha(y)$  (respectively  $\alpha(xy) = \alpha(y)\alpha(x)$ ), for all  $x, y \in M$ . A mapping  $\alpha$  is called *involutive* if  $\alpha^2 = id$ . A *\*-automorphism*  $\alpha$  is called *inner* if there exists a unitary  $u$  in  $M$ , such that  $\alpha(x) = u x u^*$ , for all  $x \in M$ . A *\*-automorphism* is called *centrally trivial* if

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$\alpha(x_n) - x_n \rightarrow 0$  \*-strongly as  $n \rightarrow \infty$  for any central sequence  $\{x_n\}_{n \in \mathbb{N}}$ . We shall denote by  $Aut(M)$  the group of all \*-automorphisms, by  $Aut(M)$  the group of all \*-antiautomorphisms, by  $Int(M)$  the group of all inner \*-automorphisms, and by  $Ct(R)$  the subgroup of its centrally trivial \*-automorphisms of  $M$ . Two \*-automorphisms or \*-antiautomorphisms  $\alpha$  and  $\beta$  are said to be conjugate (or outer conjugate), if  $\alpha = \theta \cdot \beta \cdot \theta^{-1}$  (respectively  $Adu \cdot \alpha = \theta \cdot \beta \cdot \theta^{-1}$ ) for some \*-automorphism  $\theta$  (and an inner \*-automorphism  $Adu$ ). A linear functional  $\omega$  on  $M$  is called *positive*, if  $\omega(x^*x) \geq 0$  for all  $x \in M$ . A positive linear functional  $\omega$  on  $M$  with  $\|\omega\| = 1$  is called a state. Let  $M_+$  be the positive part of  $M$ . A weight on  $M$  is a homogeneous additive function  $\omega: M_+ \rightarrow [0, +\infty]$  (we suppose that  $0 \cdot +\infty = 0$ ). A weight (or a state)  $\omega$  is called: *faithful*, if for any  $x \in M_+$ ,  $\omega(x) = 0$  implies  $x = 0$ ; *normal*, if for any net  $\{x_\alpha\}$  in  $M$ , increasing to an element  $x$ , we have  $\omega(x) = \sup_\alpha \omega(x_\alpha)$ ; *finite*, if  $\omega(x) < \infty$  for all  $x \in M_+$ ; *semifinite*, if for any  $x \in M_+$  there exists a net of elements  $\{y_\alpha\} \in M_+$ , such that  $\omega(y_\alpha) < \infty$ , and  $y_\alpha \rightarrow x$  in  $\sigma$ -weak topology;  $\omega$  is a trace, if  $\omega(uxu^*) = \omega(x)$  for all  $x \in M_+$  and each unitary  $u \in M$ .

The type of a  $W^*$ -algebra is tightly connect with the existence of traces on it. Namely a  $W^*$ -algebra  $M$  is a finite if and only if it possesses a separating family of finite normal traces; it is semifinite if and only if it possesses a faithful semifinite normal trace;  $M$  is purely infinite if and only if there is no nonzero semifinite normal trace on  $M$  (see [15]).

**Definition. [4].** By a real  $C^*$ -algebra we mean a real Banach \*-algebra  $R$  such that the relation  $\|a^*a\| = \|a\|^2$  holds and the element  $1 + a^*a$  is invertible for any  $a \in R$ .

**Definition\*. [8,9].** A real  $C^*$ -algebra  $R$  such that  $R + iR$  is a complex  $W^*$ -algebra is referred to as a real  $W^*$ -algebra.

We proceed with another definition of a real  $W^*$ -algebra, which can be found in papers of Størmer.

**Definition\*\*. [2,13].** A unital weakly closed real \*-algebra  $R$  in  $B(H)$  such that  $R \cap iR = \{0\}$  is called a *real  $W^*$ -algebra*.

A real  $W^*$ -algebra  $R$  is called a (real) factor if its center  $Z(R)$  consists of elements  $\lambda 1$ ,  $\lambda \in \mathbb{R}$ . We say that a real  $W^*$ -algebra  $R$  is of type  $I_{fin}$ ,  $I_\infty$ ,  $II_1$ ,  $II_\infty$  and  $III$ ,  $\lambda \in [0,1]$  if the enveloping  $W^*$ -algebra  $U(R) = R + iR$  (i.e., the least  $W^*$ -algebra containing  $R$ ) is of the corresponding type with respect to the usual classification of  $W^*$ -algebras.

### 3. MAIR RESULTS

Let  $A$  be a real  $C^*$ -algebra, with the complexification  $M = A + iA$ . Then  $M$  is a complex  $C^*$ -algebra and, as we have seen in the previous section, if  $A$  is a real  $AW^*$ -algebra  $M$  may not be a (complex)  $AW^*$ -algebra. Now let us consider the converse problem if  $M = A + iA$  is an  $AW^*$ -algebra is  $A$  necessarily a real  $AW^*$ -algebra? The following result gives a positive answer to this problem.

**Proposition 1.** *Let  $A$  be a real  $C^*$ -algebra and let  $M = A + iA$  be its complexification. Suppose that  $M$  is an  $AW^*$ -algebra. Then  $A$  is a real  $AW^*$ -algebra.*

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**Proof.** As we have mentioned in the first section,  $A$  coincides with the fixed point set under the conjugate linear  $*$ -automorphism " $\bar{\cdot}$ ":  $x + iy \mapsto x - iy$  of  $M$ , where  $x, y \in A$ , i.e.  $A = \{a \in M : \bar{a} = a\}$ . If  $S$  is a nonempty subset in  $A$  then for its right-annihilator (with respect to  $M$ ) we have

$$R_M(S) = \{a \in M \mid sa = 0 \text{ for all } s \in S\}$$

and

$$a \in R_M(S) \Leftrightarrow sa = 0, \forall s \in S \Leftrightarrow \overline{sa} = \bar{s} \bar{a} = s \bar{a} = 0, \forall s \in S$$

because  $\bar{\bar{s}} = s \in A$ . This means that  $a \in R_M(S)$  if and only if  $\bar{a} \in R_M(S)$ .

Now suppose that  $M$  is an AW\*-algebra, then  $R_M(S) = gM$  for a suitable projection  $g \in M$ . Since  $g \in R_M(S)$  from above it follows that  $\bar{g} \in R_M(S)$ . Therefore  $\bar{g}$  is a projection and  $\bar{g} \in gM$ , i.e.  $\bar{g} = g\bar{g}$ . Thus  $(\bar{g})^* = (g\bar{g})^* = (\bar{g})^* g^* = \bar{g}g = \bar{g} = g\bar{g}$  i.e.  $g = \bar{\bar{g}} = \overline{g\bar{g}} = \bar{g}g = \bar{g}$ . This means that  $g \in A$ . But then

$$R_M(S) = R_M(S) \cap A = gM \cap A = gA,$$

i.e.  $A$  is a real AW\*-algebra.

**Proposition 2.** *There exist real AW\*-factors which are not real W\*-factors.*

**Theorem 1.** *A real AW\*-algebra  $A$  is a real W\*-algebra if and only if*

- (i)  *$A$  possesses a separating family of normal states;*
- (ii) *its complexification  $M = A + iA$  is an AW\*-algebra.*

**Proof.** Necessity is obvious, since if  $A$  is a real W\*-algebra, then  $M = A + iA$  is a (complex) W\*-algebra (see [7, Chap.5]). Therefore,  $M$  is an AW\*-algebra and it possesses a separating family of normal states, the restrictions of which on  $A$  give a separating family of normal states on  $A$ .

Sufficiency. Let  $M = A + iA$  be an AW\*-algebra and let  $A$  possess a separating family of normal states, which we denote by  $\{f_\gamma\}$ , i.e. for any  $a \in A, a \geq 0, a \neq 0$  exists  $f \in \{f_\gamma\}$  with  $f(a) = 0$ .

For  $x \in a + ib \in M, a, b \in A$ , we put  $\alpha(x) = a^* + ib^*$ . A straightforward calculation shows that  $\alpha$  is an involutive (i.e. with period 2)  $*$ -anti-automorphism of  $M$ , and  $A = \{a \in M : \alpha(a) = a^*\}$ .

The extension of  $f_\gamma$  by linearity on  $M$  we denote by  $f_\gamma^0$ , and we shall show, that the family  $\{f_\gamma^0\}$  is a separating family of normal states on  $M$ .

For  $x = a + ib \in M_s = \{x \in M : x^* = x\}$  we have  $a^* = a, b^* = -b$ , and since  $f_\gamma$  is hermitian we obtain  $f_\gamma^0(x) = f_\gamma(a) + if_\gamma(b) = f_\gamma(a)$ , since  $f_\gamma(b) = 0$ . Thus, for  $x \in M_s$  we have

$$f_\gamma^0(x) = \frac{1}{2} f_\gamma(x + \alpha(x)),$$

and  $x + \alpha(x) \in A$ , since  $x + \alpha(x) = 2a$ .

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If  $x \geq 0$ , then  $\alpha(x) \geq 0$  (because  $\alpha$  is a  $*$ -anti-automorphism), and hence  $x + \alpha(x) \geq 0$ , i.e.  $x + \alpha(x) \in A^+$ . Therefore  $f_\gamma^0(x) = \frac{1}{2} f_\gamma(x + \alpha(x)) \geq 0$ , i.e. all functionals  $f_\gamma^0$  are positive on  $M$ . Moreover, we have  $f_\gamma^0(1) = f_\gamma(1) = 1$ , i.e.  $\{f_\gamma^0\}$  is a family of states on  $M$ .

Now let us show, that each state  $f_\gamma^0$  is normal. If  $\{x_\nu\} \subset M$  is an arbitrary net with  $x_\nu \searrow 0$ , then since  $\alpha$  is an order isomorphism of  $M$ , we have  $\alpha(x_\nu) \searrow 0$ . Therefore  $x_\nu + \alpha(x_\nu) \searrow 0$  and  $x_\nu + \alpha(x_\nu) \in A^+$ . Since  $f_\gamma$  is a normal we obtain

$$f_\gamma^0(x_\nu) = \frac{1}{2} f_\gamma(x_\nu + \alpha(x_\nu)) \rightarrow 0$$

i.e. all functionals  $f_\gamma^0$  are normal on  $M$ .

Finally, let  $x \in M$ ,  $x \geq 0$  and  $f_\gamma^0(x) = 0$  for all  $\gamma$ . Then  $x + \alpha(x) \in A^+$ , and since  $\{f_\gamma^0\}$  is a separating family of states,  $x + \alpha(x) = 0$ . Hence we have  $x = -\alpha(x) \in M^+ \cap (-M^+) = \{0\}$ , i.e.  $x = 0$ . Thus, the AW\*-algebra  $M$  possesses a separating family of normal states  $\{f_\gamma^0\}$ . By the theorem of Pedersen [10]  $M$  is a W\*-algebra. Therefore, by [7]  $A$  is a real W\*-algebra.

Now, let  $M$  be a (complex) AW\*-factor,  $\alpha$  its involutive  $*$ -anti-automorphism. Then as it was mentioned above the set  $A = \{a \in M : \alpha(a) = a^*\}$  is a real C\*-algebra such that  $M = A + iA$  (actually  $\bar{x} = \alpha(x^*)$  in terms of operation "·") and from Proposition 4.3.1 it follows that  $A$  is a real AW\*-factor. It is known that two real W\*-algebras generating the same (complex) W\*-algebra, are isomorphic if and only if the corresponding involutive  $*$ -anti-automorphisms are conjugate [2,13,14]. A similar result is also valid for real AW\*-algebras:

**Proposition 3.** *Let  $\alpha$  and  $\beta$  be involutive  $*$ -anti-automorphisms of a (complex) AW\*-factor  $M$ . Then the real AW\*-factors*

$$A = \{x \in M : \alpha(x) = x^*\} \text{ and } B = \{x \in M : \beta(x) = x^*\}$$

*are real  $*$ -isomorphic if and only if the involutive  $*$ -anti-automorphisms  $\alpha$  and  $\beta$  are conjugate, i.e.  $\beta = \theta\alpha\theta^{-1}$  for a suitable  $*$ -automorphism of the AW\*-factor  $M$ .*

**Proof.** Let  $A$  and  $B$  be real  $*$ -isomorphic with a  $*$ -isomorphism  $\theta_0 : A \mapsto B$ . Then  $\theta_0$  can be naturally extended to a (complex)  $*$ -isomorphism  $\theta$  of their complexifications  $A + iA$  and  $B + iB$  both coincide with  $M$ . Therefore  $\theta$  is a  $*$ -automorphism of  $M$  and  $\theta(A) = B$ , i.e.  $\alpha(x) = x^*$  if and only if  $\beta(\theta(x)) = (\theta(x))^* = \theta x^*$ . Thus for  $x \in A$  we have

$$\beta(\theta(x)) = (\theta(x))^* = \theta(x^*) = \theta\alpha(x), \text{ i.e. } \beta = \theta\alpha\theta^{-1}\alpha(x) \text{ for all } x \in A.$$

Since  $\theta^{-1}\beta^{-1}\theta\alpha$  is a  $*$ -automorphism on  $M$  which is identical on  $A$  and any real  $*$ -automorphism of  $A$  can be uniquely extended to a complex  $*$ -automorphism of  $M$ , it follows that  $\theta^{-1}\beta^{-1}\theta\alpha = id$  on whole  $M$ , i.e.  $\theta\alpha = \beta\theta$  and  $\beta = \theta\alpha\theta^{-1}$ , i.e.  $\alpha$  and  $\beta$  are conjugate.

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Conversely, if  $\alpha$  and  $\beta$  are conjugate, i.e.  $\beta = \theta\alpha\theta^{-1}$  for a suitable complex \*-automorphism  $\theta$  of  $M$ , then  $\theta\alpha = \beta\theta$  and  $\alpha(x) = x^*$  if and only if  $\beta(\theta(x)) = \theta x^* = (\theta x)^*$ , i.e.  $\theta(A) = B$ . Therefore,  $\theta$  restricted on  $A$  gives the needed \*-isomorphism between real AW\*-factors  $A$  and  $B$ .

Now we consider one of the main results of this section.

**Theorem 2.** *Let  $A$  be a real AW\*-algebra and its complexification  $M = A + iA$  is a (complex) AW\*-algebra. Then  $A$  is of type I if and only if  $M$  of type I.*

**Corollary.** *Let  $A$  be a real AW\*-algebra of type I, and its complexification  $M = A + iA$  is a (complex) AW\*-algebra. Then  $A$  is a real W\*-algebra if and only if its center  $Z_A$  is a real W\*-algebra.*

**Proof.** If  $A$  is a real W\*-algebra then, obviously, its center  $Z_A$  is a real W\*-algebra. Conversely, let  $A$  be an AW\*-algebra of type I and its center is a W\*-algebra. Then by Theorem 4.5.2,  $M = A + iA$  is an AW\*-algebra of type I, and its center  $Z_M = Z_A + iZ_A$  is a W\*-algebra. From Kaplansky's theorem [6, Theorem 2] it follows that  $M$  is a W\*-algebra. Therefore,  $A$  is a real W\*-algebra.

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